

09/580772

PATENT APPLICATION SERIAL NO.

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
ITS RECORDS SECTION

5/11/2000 WCLAYBRO 00000036 500417 09530772  
NO ADJ 01 000000 10

06/27/2000 WCLAYBRO 00000165 500417 09530772  
01 FC:960 970.00 CH

05/11/2000 WCLAYBRO 00000036 500417 09530772  
01 FC:970 840.00 CH  
02 FC:154 130.00 CH

Adjustment date: 06/27/2000 WCLAYBRO  
05/11/2000 WCLAYBRO 00000036 500417 09530772  
01 FC:970 840.00 CR

FORM PTO-1390		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>			50179-080
			U.S. APPLIC. NO. (if known, see 37 CFR 1.5) <b>09/530772</b>
INTERNATIONAL APPLICATION NO.  PCT/AU98/00930	INTERNATIONAL FILING DATE  November 6, 1998	PRIORITY DATE CLAIMED  November 6, 1997	
TITLE OF INVENTION  SUICIDE EXPRESSION VECTOR FOR VACCINE STRAINS			
APPLICANT(S) FOR DO/EO/US  Himanshu N. BRAHMBHATT, Robert B. SEYMOUR, Peter H. BIRD, and Mark P. BRADLEY			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
1.	<input checked="" type="checkbox"/>	This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.	
2.	<input type="checkbox"/>	This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.	
3.	<input checked="" type="checkbox"/>	This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).	
4.	<input checked="" type="checkbox"/>	A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.	
5.	<input checked="" type="checkbox"/>	A copy of the International Application as filed (35 U.S.C. 371(c)(2))	
	<input checked="" type="checkbox"/>	a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).	
	<input checked="" type="checkbox"/>	b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau.	
	<input checked="" type="checkbox"/>	c. <input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)	
6.	<input type="checkbox"/>	A translation of the International Application into English (35 U.S.C. 371(c)(2)).	
7.	<input type="checkbox"/>	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))	
	<input type="checkbox"/>	a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).	
	<input type="checkbox"/>	b. <input type="checkbox"/> have been transmitted by the International Bureau.	
	<input type="checkbox"/>	c. <input type="checkbox"/> have not been made; however, the time limit for making such amendment has NOT expired.	
	<input type="checkbox"/>	d. <input type="checkbox"/> have not been made and will not be made.	
8.	<input type="checkbox"/>	A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).	
9.	<input type="checkbox"/>	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).	
10.	<input type="checkbox"/>	A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).	
Items 11. to 16. below concern other document(s) or information included:			
11.	<input checked="" type="checkbox"/>	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.	
12.	<input type="checkbox"/>	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.	
13.	<input checked="" type="checkbox"/>	A FIRST preliminary amendment.	
	<input type="checkbox"/>	A SECOND or SUBSEQUENT preliminary amendment.	
14.	<input type="checkbox"/>	A substitute specification.	
15.	<input type="checkbox"/>	A change of power of attorney and/or address letter.	
16.	<input checked="" type="checkbox"/>	Other items or information.	
1. Copy of Published International Application 2. International Search Report by Australian Patent Office 3. International Preliminary Examination Report			



U.S. APPLIC. NO. (if known, see 37 CFR 1.50)		INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER	
<b>09/530772</b>		PCT/AU98/00930		50179-080	

  

				<b>CALCULATIONS</b>	<b>PTO USE ONLY</b>
17. <input checked="" type="checkbox"/> The following fees are submitted:  <b>Basic National Fee (37 CFR 1.492(a)(1)-(5)):</b> Search Report has been prepared by the EPO or JPO <span style="float: right;">\$840.00</span>  International preliminary examination fee paid to USPTO (37 CFR 1.482) <span style="float: right;">\$670.00</span> No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) <span style="float: right;">\$690.00</span>  Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO <span style="float: right;">\$970.00</span>  International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) <span style="float: right;">\$96.00</span>					
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				\$ 970.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 130.00	
Claims	Number Filed	Number Extra	Rate		
Total Claims	19    -20 =	0	x \$18.00	\$	
Independent Claims	1        -3 =	0	x \$78.00	\$	
Multiple dependent claim(s) (if applicable)			+ \$260.00	\$	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 1100.00	
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).				\$	
<b>TOTAL NATIONAL FEE =</b>				\$ 1100.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+	\$
<b>TOTAL FEES ENCLOSED =</b>				\$ 1100.00	
				Amount to be: refunded	\$
				charged	\$

  

a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.

b. ☒ Please charge my Deposit Account No. 500417 in the amount of \$ 1100.00 to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 500417. A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

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SIGNATURE	<i>Robert L. Price</i>
Robert L. Price	
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22,685	
REGISTRATION NUMBER	
May 4, 2000	
DATE	